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10-23-02  
1755

PATENT  
Docket No.: 11197/7

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Takahiro NAKAJIMA et al.

SERIAL NO. : 10/049,438 (Natl. Phase of PCT/JP00/05728)

FILED : May 30 2002

FOR : A POLYESTER POLYMERIZATION CATALYST,  
POLYESTER PRODUCED BY USING THE SAME, AND A  
PROCESS FOR PRODUCING POLYESTER

GROUP ART UNIT : 1754

EXAMINER : (Unassigned)

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97(b)(3)**

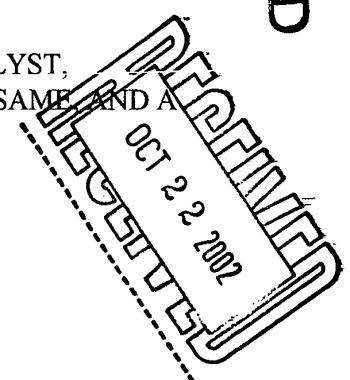
SIR:

Under the provisions of 37 CFR §1.97(b)(3), and in accordance with Applicants' duty of disclosure under 37 CFR §1.56, Applicants would like to call the Examiner's attention to the documents cited on the attached form PTO-1449. A copy of each reference is attached.

The Examiner is respectfully requested to review and mark as considered the references listed on the attached form PTO-1449, to make them of record in the present application and to include them in the "References Cited" section of any patent issuing from the present application.

Since this statement is being submitted prior to a first Office Action on the merits, it is believed that no fee is due in connection with this paper. However, should any fees be due, the Office is hereby authorized to charge any fees under 37 CFR §1.16 or §1.17, or to credit any overpayment, to Deposit Account No. 11-0600.

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OCT 21 2002  
TC 1700



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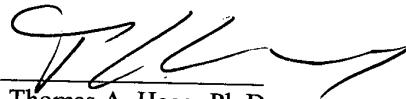
INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97(b)(3)  
U.S. Application Serial No. 10/049,438

The Examiner is invited to contact the undersigned at 202/220-4200 to discuss any matter in this application.

Respectfully submitted,  
KENYON & KENYON

Dated: Oct. 17, 2002

By:



Thomas A. Haag, Ph.D.  
(Reg. No. 47,621)

1500 K Street, N.W., Suite 700  
Washington, D.C. 20005  
202-220-4200

DC01 426602 v 1

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Substitute for form 1449A/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1 Attorney Docket Number 11197/7

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OCT 21 2002

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## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
	1	GB 1 348146	03/13/74			x
	2	JP 2000 63504	02/29/00			abstr
	3	JP 8 193127	30/07/96			abstr
	4	JP 46 5395	29/11/71			abstr
	5	WO 96/11978 EP	04/25/96			x
	6	WO 96/41828 US	12/27/96			x
	7	WO 99/28033 GB	06/10/99			x
	8	WO 00/71252 A1 GB	11/30/00			x
	9	WO 01/42335 A1 US	06/14/01			x
	10	WO 02/068500 A1	09/06/02			

Examiner Signature		Date Considered	
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.<sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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110/3B/00A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449A/PTO		<b>C mplete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>			
(use as many sheets as necessary)			
Application Number	10/049,438		
Filing Date	May 30, 2002		
First Named Inventor	Takahiro NAKAJIMA, et al.		
Group Art Unit	1754		
Examiner Name			
Sheet	of	Attorney Docket Number	11197/7

#### **OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Signature		Date Considered	
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**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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